

<b>§ TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 06275-427US1/100684-1P US U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/520699</div>
INTERNATIONAL APPLICATION NO. PCT/SE2003/001185	INTERNATIONAL FILING DATE 7 July 2003	PRIORITY DATE CLAIMED 8 July 2002
TITLE OF INVENTION NOVEL TRICYCLIC SPIROPIPERIDINES OR SPIROPYRROLIDINES		
APPLICANT(S) FOR DO/EO/US Nafizal Hossain, Svetlana Ivanova and Marguerite Mensonides-Harsema		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. § 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. § 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. § 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. § 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 C.F.R. § 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. § 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. § 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. § 154(d)(4).
20. ☒ Other items or information:  
Copy of the published International Application including the Search Report; International Preliminary Report on Patentability (4 pages)

**CERTIFICATE OF MAILING BY EXPRESS MAIL**  
 Express Mail Label No. EL 9647577781 US  
 Date of Deposit: January 7, 2005

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.55) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/520699</div>		INTERNATIONAL APPLICATION NO. PCT/SE2003/001185		ATTORNEY'S DOCKET NUMBER 06275-427US1	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee ..... \$300				\$	
<input checked="" type="checkbox"/> b) Examination fee ..... \$200				\$	
<input checked="" type="checkbox"/> c) Search fee ..... \$500				\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>					
<b>\$1000.00</b>					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
-100 =	/50 =		X \$250	\$	
Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		21 - 20 =	1	x \$50	\$50.00
Independent Claims		3 - 3 =	0	x \$200	\$0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$50.00
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.				\$0.00	
<b>SUBTOTAL =</b>					\$1050.00
Processing fee of \$130 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f))				+	0.00
<b>TOTAL NATIONAL FEE =</b>					\$1050.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+	40.00
<b>TOTAL FEES ENCLOSED =</b>					\$1090.00
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1090.00 to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 C.F.R. 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the International Application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
PTO Customer No: 26164			Catherine M. McCarty Reg. No. 54,301 SIGNATURE		
			Janis K. Fraser, Ph.D., J.D. NAME		
			34,819 REGISTRATION NUMBER		